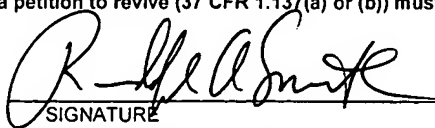


TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 0047/028001 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/538407
INTERNATIONAL APPLICATION NO. PCT/JP2003/015906	INTERNATIONAL FILING DATE December 11, 2003	PRIORITY DATE CLAIMED December 11, 2002
TITLE OF INVENTION A SUBSTRATE FOR EPITAXY AND METHOD OF PREPARING THE SAME		
APPLICANT(S) FOR DO/EO/US Robert DWILINSKI, Roman DORADZINSKI, Jerzy GARCZYNSKI, Leszek STERZPUJOWSKI and Yasuo KANBARA		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: (continued on attached page)		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.51) 10/538407		INTERNATIONAL APPLICATION NO. PCT/JP2003/015906		ATTORNEY'S DOCKET NUMBER 0047/028001		
The following fees have been submitted				CALCULATIONS	PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee..... \$300				\$ 300.00		
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200				\$ 200.00		
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$ 400.00		
TOTAL OF 21, 22 and 23 =				\$ 900.00		
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE			
55 - 100 =	0 /50 =		x \$250	\$ 0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		
Total claims	15 - 20 =	0	x \$ 50	\$ 0.00		
Independent claims	6 - 3 =	3	x \$200	\$ 600.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 0.00		
TOTAL OF ABOVE CALCULATIONS =				\$ 1,500.00		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						
SUBTOTAL =				\$ 1,500.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$		
TOTAL NATIONAL FEE =				\$ 1,500.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00		
TOTAL FEES ENCLOSED =				\$ 1,540.00		
				Amount to be refunded:	\$	
				Amount to be charged:	\$	
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-2586</u> . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO: Randolph A. Smith SMITH PATENT OFFICE 1901 Pennsylvania Ave., N.W., Suite 200 Washington DC 20006-3433 (Phone) 202-530-5900 (Fax) 202-530-5902						
				 SIGNATURE		
				Randolph A. Smith NAME		
				32,548 REGISTRATION NUMBER		

10/538407

JC17 Rec'd PCT/PTO 10 JUN 2005

Applicants: Robert DWILINSKI et al.
Title: A SUBSTRATE FOR EPITAXY AND A METHOD OF
PREPARING THE SAME
International Application No.: PCT/JP2003/015906
International Filing Date : December 11, 2003
Priority Date : December 11, 2002
U.S. Filing Date : June 10, 2005
Attorney's Docket No. : 0047/028001

ATTACHMENT PAGE FOR TRANSMITTAL LETTER

Applicants submit the following items of information:

Point No. 20: Continued below

- | | |
|--|----------|
| 1) Information Disclosure Statement | 2 Pages |
| 2) PTO-1449 Form (in duplicate) | 2 Pages |
| 3) 8 References, 1 English Translation and 1 English Abstract | |
| 4) Form PCT/ISA/210, International Search Reports | 6 Pages |
| 5) Form PCT/IB/304, Notification Concerning Submission
or Transmittal of Priority Document | 1 Page |
| 6) Form PCT/IB/308, Notice Informing the Applicant of the
Communication of the International Application to the
Designated Offices | 1 Page |
| 7) Form PCT/IB/332, Information Concerning Elected Offices
Notified of Their Election | 1 Page |
| 8) Form PCT/IPEA/408, Written Opinion | 4 Pages |
| 9) Form PCT/IPEA/416, Notification of Transmittal
of International Preliminary Examination Report | 1 Page |
| 10) Form PCT/IPEA/409, International Preliminary
Examination Report | 15 Pages |
| 11) Copy of the Cover Pages of the International
Publication WO 2004/053210 | 2 Pages |